

QUARTERLY PHYSICAL PERFORMANCE REPORT

Budget Accountability Report (BAR No. 1)

For the Quarter Ending March 2014


Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	1st Quarter	1st Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
MFO 4: HEALTH SECTOR REGULATION SERVICES					
PI 1: Licensing/Registration/Accreditation					
Number of permits, licenses and accreditation issued for health products/establishments/facilities/devices and technologies	38,562	9,266	13,920 (2,463 licenses & 11,457 CPRs)	150%	
% of authorized/accredited entities with detected violations of license or accreditation conditions	4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	37 ROVs/897 inspections	4%	
% of applications for permits, licenses or accreditation acted upon within 3 weeks of application	85% of the total applications received	85% of the total applications received	6,280 applications processed/7,228 application received	87%	
PI 2: Monitoring					
Number of inspection of regulated products and entities	2,825 ✓	642	1,364 inspection conducted in NCR	212%	
% of submitted reports that resulted in the issuance of notice of violation and penalties imposed	4% of the total reports from NCR submitted	4% of the total reports submitted for the period	71 ROVs/1,364 inspections	5%	
% of entities which have been monitored at least once a year	50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	897 inspections/13,950 establishments in NCR	6.43%	The total number of licensed establishments in NCR as of March 2014 were 13,950 (excluding unlicensed establishments) while FDA inspectors for NCR were only 55.

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Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	1st Quarter	1st Quarter		
PI 3: Enforcement					
Number of reported violations and complaints acted upon	600	150	206 violations and complaints acted	137%	
% of cases resolved	10% of the total docketed cases from current and previous years	249 cases	492 cases resolved	198%	Target is minimum of 50 resolutions per month
% of stakeholders who view FDA enforcement as satisfactory or better	80%	80%	76/97	78.35%	One (1) office of FDA not yet submitted their Customer Satisfaction Survey for the month of Marhc 2014
Number of cases acted upon within 30 days	300	75	9 Complaints	12%	Target is based on historical data while accomplishment is dependent on the number of complaints received

* Annual Target = Total number of cases for resolution as of March 2014 X 10% = 9.961 X 10% = 996 cases (996/4 quarters = 249 resolutions)

Prepared by:


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 Administrative Officer III

Approved by:


OSCAR G. GUTIERREZ, JR
 OIC, Policy and Planning Office

Noted by:


KENNETH Y. HARTIGAN-GO, MD
 Acting Director General

QUARTERLY PHYSICAL PERFORMANCE REPORT

Budget Accountability Report (BAR No. 1)

For the Quarter Ending June 2014

Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	2nd Quarter	2nd Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
MFO 4: HEALTH SECTOR REGULATION SERVICES					
PI 1: Licensing/Registration/Accreditation					
Number of permits, licenses and accreditation issued for health products/establishments/facilities/devices and technologies					Reason for Over Performance: The 2nd Quarter Target reflects the number of LTOs/CPRs/Notification issued in 2013 for the same period. The present performance showed an 81% more
	38,562	10,094	18,234 1 (4,309 for licensing and 13,925 for registration)	181%	LTOs/CPRs/Notification issued compared last year for the same period. This can be primarily attributed to any of the 3 factors: a) there was an increased number of applications (now done online) and was processed efficiency by the FDA, b) previous backlogs or unacted LTOs/CPRs were processed ahead as schedudled due to reforms in the FDA processing (e.g. use of IT-based processing, increase in number of contractuels to help in processing) c) both a and b.

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Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	2nd Quarter	2nd Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
% of authorized/accredited entities with detected violations of license or accreditation conditions	4% of the licensed establishments inspected for the period	1,320 establishments were inspected for the 2nd Quarter	72 Report of Violation/1,320 inspected establishment	5.45% of the establishment detected were found to have some violation.	Reason for Over Performance. The target to be inspected for 2nd Quarter should only be 558 (representing 4% of the total licensed establishments in NCR). The target was exceeded by around 2.5 times. The increase in detection of violation may be attributed to a lot of establishments that were inspected; an increase in detection of violation is expected as more establishments are inspected. Another factor would be, the vigilance of the inspectorate under a new structure and policy on inspection under new management of FDA Inspectorate which allows flexibility and mobility (inspectorate were given 5 dedicated vehicle with individual drivers)

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Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	2nd Quarter	2nd Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
% of applications for permits, licenses or accreditation acted upon within 3 weeks of application	85% of the total applications received	85% of the total applications received	7,846 applications processed/8,234 applications received	95%	Reason for Over Performance. The 10% increase more than the target may be attributed to implementation of electronic or online Notification for Cosmetic Prodcuts and Household Hazardous Substances, Certificate of Compliance for Toys. The FDA ICTM release of LTOs or CPRs faster than last year, within 24 hours, and announced/posted at the FDA website (www.fda.gov.ph) through the Public Assistance, Information and REceiving/Releasing Unit.

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Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	2nd Quarter	2nd Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
PI 2: Monitoring					
Number of inspection of regulated products and entities	2,825	642	1,320	206%	Reason for Over Performance. The target to be inspected for 2nd Quarter should only be 642 (representing 25% of the total annual target based on 2013 performance). The current quarterly performance was 200%. The number of monitoring/spot check inspections increased due to a new structure and policy on inspection under new management of FDA Inspectorate which allows flexibility and mobility (inspectorate were given 5 dedicated vehicle with individual drivers)
% of submitted reports that resulted in the issuance of notice of violation and penalties imposed	4% of the total reports from NCR submitted	4% of the total reports submitted for the period	85 establishments with violation/1,320 inspection for the perios	6%	Reason for Over Performance. There was an improved coordination between Centers, Inspectorate and the Legal Services Support Center, and execution of legal orders by the newly created Regulatory Enforcement Unit, a work in progress.

Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	2nd Quarter	2nd Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
% of entities which have been monitored at least once a year	50% of the licensed establishments from NCR	50% of the licensed establishments inspected for the period	920 establishments monitored/6,975 establishments in NCR	13%	Reason for Under Performamnce. The total number of licensed establishments in NCR as of March 2014 is 13,950 while the total inspectors for NCR were only 26. It should also be noted or considered that the FDA Act of 2009 allows for automatic renewal of LTO (without the need for inspection), which is consistent with the risk-based approach of FDA in performing any regulatory activities.
PI 3: Enforcement					
Number of reported violations and complaints acted upon	600	150	90 Report of Violation 3 Compalints	62%	The FDA has online report@fda.gov.ph and info@fda.gov.ph to receive complaints and possible violators. This may not constitute under performance, but the data reflects, more than anything else, lesser violation (90 vs 500 last year for the same quarter) and lesser complaints (3 complaints for the 2nd quarter compared last year).

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Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	2nd Quarter	2nd Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
% of cases resolved	10% of the total docketed cases from current and previous years	244 cases resolved	179 cases resolved	73%	There were 3 lawyers that resigned for the year, leaving only 1 lawyer at the LSSC. The other LSSC lawyer was assigned at the REU. The 73% accomplishment is considered a very satisfactory performance.
% of stakeholders who view FDA enforcement as satisfactory or better	80%	80%	78%	78%	Possible reason for lower satisfaction: The FDA PAIR System is still a work in progress. It is possible that those who filled the questionnaires did not know the previous system of the FDA.
Number of cases acted upon within 30 days	300	75	3 cases on complaints	4%	Because of the nature of complaints, only 3 complaints was acted within 30 days.


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Major Final Output/Performance Indicators	Physical Targets		Quarterly Actual	Percentage (%) of Accomplishments	Reason for Under/Over Performance (variance)
	FY 2014 Annual	2nd Quarter	2nd Quarter		
(1)	(2)	(3)	(4)	"(5) = (4/3)*100	(6)
Laboratory Testing					
Central Laboratory	4,152	1,296	3,762	290%	There were several samples confiscated/collected by inspectors during inspection or raid.
Satellite Laboratory for Visayas	735	168	107	64%	There were only few samples submitted for testing, possibly because of the Yolanda aftermath. Inspectors were busy assisting establishments from recovering.
Satellite Laboratory for Mindanao	1,600	400	439	110%	The performance is very satisfactory.

* Quarterly Target = Total number of cases for resolution as of June 2014 X 10% = 9,761 X 10% = 976 cases resolved (976/4 = 244)

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 OIC, Policy and Planning Office

Noted by:


KENNETH Y. HARTIGAN-GO, MD
 Acting Director General

QUARTERLY PHYSICAL REPORT OF OPERATION

As of 30 September 2014

Department : Department of Health
 Agency : Food and Drug Administration
 Operating Unit :
 Organization Code (UACS) : 13 001 02 00001

✓	Current Year Appropriation
	Supplemental Appropriation
	Continuing Appropriation
	Off-Budget Account

Particulars	UACS CODE	Physical Target					Physical Accomplishments					Variance as of 30 Sept. 2014	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7=(3+4+5+6)	8	9	10	11	12=8+9+10+11	13	14
I. Operation													
MFO 4 - Health Sector Regulation Services	304010003												
PI 1: Licensing/Registration/Accreditation													
Number of permits, licenses and accreditation issued for health products/establishments/facilities/devices and technologies		9,266	10,094	10,094	9,108	38,562	13,920 (2,463 licenses & 11,457 CPRs)	18,234 (4,309 for licensing and 13,925 for registration)	13,811 (3,751 for licensing & 10,060 for Registration)		45,965	7,403	
% of authorized/accredited entities with detected violations of license or accreditation conditions		4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% (37 ROVs/897 inspections)	5.45% (72 ROVs /1,320 inspections)	4% (43 ROVs/ 1,101 inspections conducted)		5% (152 ROVs/ 3,318 inspections conducted)		
% of applications for permits, licenses or accreditation acted upon within 3 weeks of application		85% of the total applications received	85% of the total applications received	85% of the total applications received	85% of the total applications received	85% of the total applications received	87% (6,280 applications processed/ 7,228 applications received)	95% (7,846 processed/ 8,234 applications received)	85% (7,738 processed/ 9,089 applications received)		89% (21,864 applications processed/ 24,551 applications received)		
PI 2: Monitoring													
Number of inspection of regulated products and entities		642	774	774	635	2,825	1,364 inspection	1,320 inspections	1,101 inspections		3,785 inspections	960	The inspectorate were given 5 dedicated vehicles and drivers which allow flexibility and mobility.

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Particulars	UACS CODE	Physical Target					Physical Accomplishments					Variance as of 30 Sept. 2014	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7=(3+4+5+6)	8	9	10	11	12=8+9+10+11	13	14
% of submitted reports that resulted in the issuance of notice of violation and penalties imposed		4% of the total reports submitted for the period	4% of the total reports submitted for the period	4% of the total reports submitted for the period	4% of the total reports submitted for the period	4% of the total reports submitted for the period	5%	6% (85/1,320)	4%				
% of entities which have been monitored at least once a year		50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	10% (897 establishments inspected/ 9,247 establishments in NCR)	10% (920 establishments inspected/ 9,247 establishments in NCR)	6% (560 establishments inspected/ 9,247 establishments in NCR)		26% (2,377 establishments inspected /9,247 establishments in NCR)		The total number of licensed establishments in NCR as of Dec. 2013 were 18,494; 50% is equal to 9,247 establishments) Licensed health establishments with substantial compliance that suffice with the IRR of RA 9711 may apply for Automatic Renewal without inspection.
PI 3: Enforcement													
Number of reported violations and complaints acted upon		150	150	150	150	600	206	92	172		470	130	
% of cases resolved		10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	49% (492 cases resolved/996 total docketed cases)	18% (179 cases resolved/996 docketed cases)	32% (317 cases resolved/996 total docketed cases)		99% (988 cases resolved/996 docketed cases)		Monthly target is 10% of total docketed cases from current and previous years (Total No. of docketed cases as of Dec. 2013 = 9,961 X 10% = 996 resolutions per year)
% of stakeholders who view FDA enforcement as satisfactory or better		80%	80%	80%	80%	80%	78%	78%					
Number of cases acted upon within 30 days		75	75	75	75	300	9 cases on complaints	3 cases on complaints	1 cases of complaints		13 cases of complaints	287	Target is based on historical data while accomplishment is dependent on the number of complaints received
No. of Analysis Conducted													
Central Laboratory		930	1,296	1,075	1,151	4,452	2,436	290% (1,296/3,762)	3,596		7,328	2,876	

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Particulars	UACS CODE	Physical Target					Physical Accomplishments					Variance as of 30 Sept. 2014	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7=(3+4+5+6)	8	9	10	11	12=8+9+10+11)	13	14
Satellite Laboratory for Visayas		187	204	214	214	819	61% (114/187)	52% (107/204)	14% (31/214)		31% (252/819)	567	Only few samples received because of typhoon Yolanda. On the 3rd quarter, the laboratory equipment were calibrated.
Satellite Laboratory for Mindanao		400	400	400	400	1,600	257% (1,026/400)	110% (400/439)	186% (743/400)		1,908	308	Catchment area is from Region 8 to 12, CARAGA and ARMM)


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Recommending Approval:

Approved by:


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 Assistant Secretary of Health
 OIC, Food and Drug Administration

QUARTERLY PHYSICAL REPORT OF OPERATION

As of 31 December 2014

Department : Department of Health
 Agency : Food and Drug Administration
 Operating Unit :
 Organization Code (UACS) : 13 001 02 00001

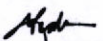
v	Current Year Appropriation
	Supplemental Appropriation
	Continuing Appropriation
	Off-Budget Account

Particulars	UACS CODE	Physical Target					Physical Accomplishments					Variance as of 30 Sept. 2014	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7=(3+4+5+6)	8	9	10	11	12=8+9+10+11	13	14
I. Operation													
MFO 4 - Health Sector Regulation Services	304010003												
PI 1: Licensing/Registration/Accreditation													
Number of permits, licenses and accreditation issued for health products/establishments/facilities/ devices and technologies		9,266	10,094	10,094	9,108	38,562	13,920 (2,463 licenses & 11,457 CPRs)	18,234 (4,309 for licensing and 13,925 for registration)	13,811 (3,751 for licensing & 10,060 for Registration)	18,197 (5,288 for licensing & 12,909 for registration)	64,162	25,600	
% of authorized/accredited entities with detected violations of license or accreditation conditions		4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% of the licensed establishments inspected for the period	4% (37 ROVs/897 inspections)	5.45% (72 ROVs /1,320 inspections)	4% (43 ROVs/ 1,101 inspections conducted)	6% (53 ROVs/ 931 inspections conducted)	5% (205 ROVs/ 4,249 inspections conducted)		
% of applications for permits, licenses or accreditation acted upon within 3 weeks of application		85% of the total applications received	85% of the total applications received	85% of the total applications received	85% of the total applications received	85% of the total applications received	87% (6,280 applications processed/ 7,228 applications received)	95% (7,846 processed/ 8,234 applications received)	85% (7,738 processed/ 9,089 applications received)	82% (6,354 processed/ 7,710 applications received)	87% (28,218 applications processed/ 32,261 applications received)		
PI 2: Monitoring													
Number of inspection of regulated products and entities		642	774	774	635	2,825	1,364 inspection	1,320 inspections	1,101 inspections	931 inspections	4,716 inspections	1,891	The inspectorate were given 5 dedicated vehicles and drivers which allow flexibility and mobility.
% of submitted reports that resulted in the issuance of notice of violation and penalties imposed		4% of the total reports submitted for the period	4% of the total reports submitted for the period	4% of the total reports submitted for the period	4% of the total reports submitted for the period	4% of the total reports submitted for the period	5%	6%	4%	6%			

Particulars	UACS CODE	Physical Target					Physical Accomplishments					Variance as of 30 Sept. 2014	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7=(3+4+5+6)	8	9	10	11	12=8+9+10+11	13	14
% of entities which have been monitored at least once a year		50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	50% of the licensed establishments from NCR	10% (897 establishments inspected/ 9,247 establishments in NCR)	10% (920 establishments inspected/ 9,247 establishments in NCR)	6% (560 establishments inspected/ 9,247 establishments in NCR)	5% (477 establishments inspected/ 9,247 establishments in NCR)	31% (2,845 establishments inspected /9,247 establishments in NCR)		The total number of licensed establishments in NCR as of Dec. 2013 were 18,494; 50% is equal to 9,247 establishments) ? Licensed health establishments with substantial compliance that suffice with the IRR of RA 9711 may apply for Automatic Renewal without inspection.
PI 3: Enforcement												31	
Number of reported violations and complaints acted upon		150	150	150	150	600	206	92	172	243	713	113	
% of cases resolved		10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	10% of the total docketed cases from current and previous years	49% (492 cases resolved/996 total docketed cases)	18% (179 cases resolved/996 docketed cases)	32% (317 cases resolved/996 total docketed cases)	3% (32 cases resolved/ 996 total docketed cases)	101% (1,020 cases resolved/996 docketed cases)	24	Total target is 10% of total docketed cases from current and previous years (Total No. of docketed cases as of Dec. 2013 = 9,961 X 10% = 996 resolutions per year)
% of stakeholders who view FDA enforcement as satisfactory or better		80%	80%	80%	80%	80%	78%	78%	75%	93%	81%		101%
Number of cases acted upon within 30 days		75	75	75	75	300	9 cases on complaints	3 cases on complaints	1 cases of complaints	6 cases of complaints	19 cases of complaints	(281)	Target is based on historical data while accomplishment is dependent on the number of complaints received
No. of Analysis Conducted													
Central Laboratory		930	1,296	1,075	1,151	4,452	2,436	1,296	3,596	4,030	10,062	5,610	

Particulars	UACS CODE	Physical Target					Physical Accomplishments					Variance as of 30 Sept. 2014	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7=(3+4+5+6)	8	9	10	11	12=8+9+10+11	13	14
Satellite Laboratory for Visayas		187	204	214	214	819	61% (114/187)	52% (107/204)	14% (31/214)	26% (56/214)	308/819	(511)	Only few samples received because of typhoon Yolanda. On the 3rd quarter, the laboratory equipment were calibrated. The Laboratory Analysts also performed evaluation of applications for food product registration on Oct. to Nov. 2014.
Satellite Laboratory for Mindanao		400	400	400	400	1,600	257% (1,026/400)	110% (400/439)	186% (743/400)	345% (1,378/400)	222% (3,547/1600)	1,947	Catchment area is from Region 8 to 12, CARAGA and ARMM)

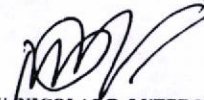
Prepared by:


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Recommending Approval:


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