



## FDA CIRCULAR

No. \_\_\_\_\_

### **SUBJECT: Revised Post-marketing Surveillance Requirements for New Drugs under Monitored Release**

#### **I. BACKGROUND**

On 15 March 1989, Department of Health Administrative Order (AO) No. 67 s. 1989<sup>1</sup> was issued to provide rules and regulations for the registration of pharmaceutical products. Under the said AO, a ‘new drug’ refers to a new chemical or structural modification of a tried and tested or established drug proposed to be used for a specific therapeutic indication, which has undergone adequate clinical pharmacology Phase I, II, and II studies but which needs further Phase IV clinical studies before it can be given regular ‘initial’ registration. Under Bureau Circular (BC) No. 5 s. 1997<sup>2</sup>, new drugs will be required to pass a 3-year ‘monitored-release’ registration and complete the additional clinical study requirement described as “*an uncontrolled clinical study*” that are “*observational or non-experimental in nature*”.

On 10 August 2006, AO. 2006-0021<sup>3</sup> was issued to serve as a supplement to the abovementioned regulations. Under Section III, item 1, the FDA was authorized to “*adopt measures and methods that would address drug evaluation issues... to ensure adaptive efficiency of rules as a consequence of new technologies, doctrines, and harmonization of standards in the evaluation of new drugs that are intended to enter the Philippine market*”. Further, under Section IV, item B, the FDA was authorized to allow applicants the use of other indicators/measures deemed reasonable to ensure safety, efficacy, and quality of new drugs.

On 26 February 2020, FDA issued Circular (FC) No. 2020-003<sup>4</sup> which provided clear guidelines for marketing authorization holders on their pharmacovigilance requirements, including those for new drugs under monitored release.

With the changes in regulatory requirements brought about by new technologies, regulatory strengthening, and harmonization among countries, there is a need for FDA to align its drug registration requirements. This will ensure that the FDA is efficient,

<sup>1</sup> Revised Rules and Regulations on Registration of Pharmaceutical Products

<sup>2</sup> Revised Checklist of Requirements and the 1997 Guidelines for the Registration of Pharmaceutical Products

<sup>3</sup> Supplemental Guidelines to Administrative Order (AO) 67 s. 1987, Revised Rules and Regulations on Registration of Pharmaceutical Products and Bureau Circular 05 s. 1997 in Evaluating New Drug Applications

<sup>4</sup> Guidelines for Pharmaceutical Industry on Pharmacovigilance



responsive, and is able to facilitate the introduction of new drugs in the market for Filipino patients.

## **II. OBJECTIVE**

This Circular is hereby issued to provide updated post-marketing surveillance (PMS) requirements for new drugs under monitored release, streamlined with Administrative Order No. 67 s. 1989, Administrative Order No. 2006-0021, and FDA Circular No. 2020-003.

## **III. SCOPE**

This Circular shall apply to all applicants and marketing authorization holders (MAH) of new drugs, vaccines, and biologics under monitored release.

## **IV. GUIDELINES**

### **A. PMS Requirements for New Drugs classified as Monitored Release**

1. Consistent with Section V., E. of FDA Circular No. 2020-003 all biological and new drug product applications shall be accompanied by a Risk Management Plan (RMP). The contents of the RMP shall comply with the requirements provided in such guideline.
2. The Pharmacovigilance (PV) Plan contained in the RMP shall discuss the plans of the applicant company to further characterize the safety concerns on the product. It shall identify, among others, the need to conduct additional PV activities, either as non-clinical studies, clinical trials, or non-interventional studies.
  - a. If on the basis of available safety information, there is a need to conduct additional PV activity(ies), the requirements under Section V., E., item 4 of FDA Circular No. 2020-003 shall be complied with and provided by the applicant.
  - b. If on the basis of available safety information, there is no need to conduct such studies, appropriate justification (discussed under Section 3.2 RMP – Philippine-Specific Annex) shall be provided by the applicant.
3. Studies which have been conducted or are proposed to be conducted in other countries as additional PV activity(ies) shall not be required to be duplicated to include the local study population, as such studies are already recognized by the FDA. However, if the FDA finds through its review that there are specific safety concerns affecting the Filipino population requiring further identification and characterization, the applicant shall be required to conduct, as appropriate, additional local PV activity(ies). The applicant shall be informed in writing of the safety signal(s) that prompted the FDA request.

4. Upon submission of the complete and correct requirements, review, and approval of the application, the FDA shall grant a marketing authorization (MA) distinctly indicating that the product is classified as a monitored release, with validity consistent with the existing rules and regulations.
5. Consistent with FDA Circular No. 2020-003, the MAH shall comply with all the requirements of pharmacovigilance stated therein.

#### **B. Processing of Pending Applications**

Previously submitted applications without upfront local phase IV clinical study protocol shall be evaluated by the FDA following the guidance above. If upon review it was found that the applicant satisfactorily met all the requirements, but the FDA deems it necessary to conduct additional local PV activity(ies), a post-approval letter shall be issued. Section V., A, item 4 shall apply.

#### **V. REPEALING CLAUSE/SEPARABILITY CLAUSE**

FDA Circular No. 2018-012 is hereby rescinded. Provisions in previous circulars and memoranda that are inconsistent with this Circular are hereby withdrawn, repealed, and/or revoked accordingly.

If any provisions in this Circular, or application of such provision to any circumstances, is held invalid, the remainder of the provisions in this Circular shall not be affected.

#### **VI. EFFECTIVITY**

This Order shall take effect immediately.

**ROLANDO ENRIQUE D. DOMINGO, MD**  
Director General